

#4

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Washington, DC 20231 on April 08, 2003.

Signed: \_\_\_\_\_

Andrea Won

**Facsimile Transmission Cover Sheet**

Recipient: Art Unit 2815  
Fax (703) 872 9318

Sender: Dexter Chin  
Horizon IP Pte Ltd  
Office (65) 9836 9908

Subject Matter: US Patent Application Number: 10/065,254  
Filing of Information Disclosure Statement for Patent Application: 10/065,254

Total Pages (including cover sheet): 82 pages

Attachments:

- a) Information Disclosure Statement
- b) Form PTO/SB/08A
- c) WO 01/44865 A1
- d) WO 01/45140 A2

**FAX RECEIVED**

**APR 08 2003**

**TECHNOLOGY CENTER 2800**

#5/IDS  
7/22/3  
Sunler  
PATENT

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

In re application of	)	Examiner:	Not Assigned Yet
Guenther et al.	)	Art Unit:	2815
Serial No. 10/065,254	)	Atty Dkt No.:	2002P12562US
Filed: September 30, 2002	)	April 08, 2003	
For: Encapsulation of Electronic Devices with Shaped Spacers	)		

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Washington, DC 20231 on April 08, 2003.

Signed: \_\_\_\_\_

Andrea Won

**FAX RECEIVED**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

APR 08 2003

TECHNOLOGY CENTER 2800

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, Attorney for Applicants hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO Form No. PTO/SB/08A. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

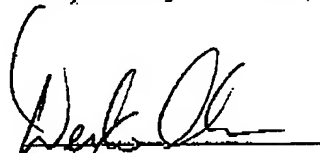
10/065,254

A copy of each patent, publication or other information listed on the modified PTO

Form No. PTO/SB/08A is enclosed, except as otherwise indicated.

Dated: April 08, 2003

Respectfully submitted,



Dexter Chin  
Attorney for Applicants  
Reg. No. 38,842

Horizon IP Pte Ltd  
166 Kallang Way  
6<sup>th</sup> Floor  
Singapore 349249  
Tel.: (65) 9836 9908  
Fax: (65) 6746 8263

FAX RECEIVED

APR 08 2003

TECHNOLOGY CENTER 2800

PTO/5508A (10-01)

Approved for use through 10/21/2002. OMB 0501-0001

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if known</b>	
				Application Number	10/065,254
				Filing Date	Sep 30, 2002
				First Named Inventor	Ewald <u>GUENTHER</u>
				Art Unit	2815
				Examiner Name	Not Assigned Yet
				Attorney Docket Number	2002P12562US
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code <sup>2</sup> (if known)	Date of Patent MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENT						
Examiner Initials*	Cite No. 1	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		WO 01/44865 A1	Jun 21, 2001	Infineon Technologies Asia Pacific Pte Ltd		
		WO 01/46140 A2	Jun 21, 2001	Osram Opto Semiconductors GmbH & Co. OHG		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind's Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
 Backdoor Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FAX RECEIVED

APR 08 2003

TECHNOLOGY CENTER 2800